10,662 1236 - 1245-G Final exhibits in relation to the Pearl Harbor phase of the case and preparation for war with the U.S.

Tojo's interrogatomies - As Premier he knew and approved the attack on Pearl Harbor, Hong Kong, Malaya and the Philippines, on or about Dec. 7 or 8,1941.

- 10,709 O. Do you, as a Japanese, feel proud of the fact that several thousand Americans were killed at Pearl Harbor in this manner?
  - A. No, I am not proud of it. . . . . . . . I should like to make a supplementary explanation of my answer to the last question as to whether I was proud that several thousands of Americans were casualties as a result of the attack on Pearl Harbor. I sympathize with those who died, but Japan had been challenged and so she took justifiable self-defense. The English and American Governments had menaced Japan militarily and economically and they should have had a suitable attitude of readiness. Furthermore, the attack was against military objectives.

Extract from Kido's Diary, 8 Dec. 1941.

At 12:40 a.m. Foreign Minister TOGO telephon-

10,683 1239 Doc.1632-W-90

> ed me to consult about the treatment of the personal telegram from President Roosevelt to the Emperor, which had been brought by Ambassador Grew. I advised him to consult the Premier as regards its diplomatic effect and procedure. . . I was informed that Foreign Minister TOGO had proceeded to the palace, so I went to the office at 2:40 a.m. to see him. When I was going up the Akasaka slope, I saw the rising sun above a building there. I thought it was symbolic of the destiny of this country now that we had entered the war against the U.S.A. and England, the two greatest powers in the world. I closed my eyes and prayed for the success of our Navy planes making an attack upon Pearl Harbor at that time. At 7:30 a.m. I met the Premier, the Chief of the Army General Staff, and the Chief of the Navy General Staff, I heard from them great news relative to the success of the surprise attack upon Hawaii and felt that the Gods had come to our aid. I saw the Fmperor at 11:40 a.m. and talked with him until 12 noon. I was very much impressed by the self-possessed attitude of the Emperor on this day. The Imperial Proclamation of War was issued. (10.684)

10,685 1240 Text of the Imperial Rescript of December 8, Doc.23620A 1941.

Exhibits (Nov. 18, 1946 cont.)

10,686

DECLARATION OF WAR AGAINST THE U.S. AND G.B.
"WE, by the grace of heaven, Emperor of Japan, seated on
the Throme of the line unbroken for ages eternal, enjoin
upon ye, Our loyal and brave subjects:

"We hereby declare war on the United States of America and the British Empire. The men and officers of our Army and Navy shall do their utmost in prosecuting the war, Our public servants of various departments shall perform faithfully and diligently their appointed tasks, and all other subjects of Ours shall pursue their respective duties; the entire nation with a united will shall mobilize their total strength so that nothing will miscarry in the attainment of our war aims.

"To insure the stability of East Atia and to contribute to world peace is the far-sighted policy which was formulated by Our Great Illustrious Imperial Grandsire and Our Great Imperial Sire succeeding Him, and which We lay constantly to heart. To cultivate friendship among nations and to enjoy prosperity in common with all nations has always been the guiding principle of Our Empire's foreign policy. It has been truly unavoidable and far from Our wishes that Our Empire has now been brought to cross swords with America and Britain. More than four years have passed since the government of the Chinese Republic, failing to comprehend the true intentions of Our Empire, and recklessly courting trouble, disturbed the peace of East Asia and compelled Our Empire to take up arms. Although there has been re-established the National Government of China. with which Japan has effected neighbourly intercourse and co-operation, the regime which has survived at Chungking, relying upon American and British protection, still continues its fractricidal opposition. Eager for the realization of their inordinate ambition to dominate the Orient, both America and Britain, giving support to the remaining (T.N. Chungking/ regime, have, under the false name of peace, aggravated the disturbances in East Asia. Moreover, these two Powers, inducing other countries to follow suit, increased military preparations on all sides of Our Empire to challenge us. They have obstructed by every means our peaceful commerce, and finally resorted to a direct severance of economic relations, menacing gravely the existence of Our Empire.

"Patiently have We waited and long have We endured, in the hope that Our Government might retrieve the situation in peave. But our adversaries, showing not the least spirit of conciliation, have unduly delayed a settlement; and in the meantime, they have intensified the economic and military pressure to compel thereby Our Empire to submission.

Page of Record Exhibits (Nov.18,1946 cont.)

10,688 This trend of affairs would, if left unchecked, not only nullify Our Empire's efforts of many years for the sake of the stabilization of East Asia, but also endanger the very existence of Our nation. The situationbeing such as it is, Our Empire for its existence and self-defence has no other recourse but to appeal to arms and to crush every obstacle in its path.

> "The hallowed spirits of Our Imperial Ancestors guarding Us from above, We rely upon the loyalty and courage of Our subjects in Our confident expectation that the task bequeathed by Our Forefathers will be carried forward, and that the sources of evil will be speedily eradicated and an enduring peace immutably established in East Asia, preserving thereby the glory of Our Empire.

> "The 8th day of the 12th month of the 16th wear of Showa."

Wire from Berlin to Tokyo dated 8 Dec. relating 10,701 Doc. 2593-D(40) to Germany and Italy declaring war on the U.S.

MR. BLEWETT objects to leading questions in the 10,703 interrogatories, which, in essence, was overruled by the President.

MR. KEENAN presents MR. BALLANTINE as next wit-10,710 ness and makes statement in relation to his qualifications as such. Statement offered in evidence, Doc. 2215, Ex. 1245.

> MR. LOGAN and MR. SMITH object to statement. Argument by MR. KEENAN, statement by the President. (10,714-10,716).

MR. SMITH? I ask your Honor to allow me a special exception in refusing to hear the grounds for my objection and your Honor's last remark to me,

THE PRESIDENT: You may have your exception. What I stated from the note is a mere observation that has been made previously and which has been repeated for the common knowledge. No decision as involved; it is a mere statement of fact.

Statement of Mr. Bailantine was read covering his experiences in Japan from 1909, and particularly covers the negotiations carried on between Japan and the U.S., through Ambassadors and Secretary of State, with reference to the differences between the U.S. and Japan and the attempt to settle them. Much of the statement consisted of documents introduced in evidence by the prosecution in this phase of the case. In connection with the statement, exhibits referred to therein were offered and received in evidence.

10,717

37

Page of Record Exhibits Nov. 19, 1946

10,808 1245-H to BALLANTINE continued reading of his affidavit and the exhibits contained therein and concluded same. (10,849)

10,850 MR. WARREN makes further objection to the affidavit on the ground that same contains conclusions of the witness, etc.

THE PRESIDENT: . . . We gave our decision yesterday, and we are not going to review it.

MR. BLAKENEY: cross-examination by. Questioned the witness with reference to the preparation of the affidavit, the various statements that were made, and questions concerning many negotiations with Japan, participated in by Ballantine, and the Secretary of State, covering six months period from May to November, 1941. Cross-examination carried on for balance of day. Detail of this is not given because it follows closely along the lines of the affidavit in relation to natters with which defense counsel are already familiar.

THE PRESIDENT: ... We will get very little assistance from any academic discussion about the meaning of self-defense, or the right to it.

MR. BLAKENEY: . . . I should like to point out that I think this witness can give us great assistance in one other way. . . . in understanding diplomatic negotiations, and here you have an expert on the subject. (10,872)

I think you should have inferred that we are not treating him as an expert; that is, as a person who can draw conclusions for us. There is no diplomatic language, really; there may be a diplomatic style. But we reserve the right to give our meaning to the words used without any help from any diplomat. . . . . . I told you the Tribunal would disregard everything in the affidavit except statements of fect; that we would disregard his opinions, and only to his opinions can you justly apply the term "sweeping".

On objection by Mr. Keenan with reference to questions in relation to the position of Japan regarding the Tripartite Pact (10,989), there is a discussion between Mr. Blakeney and the President.

10,900

THE PRESIDENT: In view of the very explicit statement to which I have just referred, the attitude of the Japanese as to the Tripartite Pace in the course of the negotiations becomes wholly immaterial. I should say the apparent attitude. Immateriality is a ground for excluding evidence.

Page of Record Exhibits Nov-20,1946 10,903 1246 Continuation of cross-examination by MR. BLAKENEY. This brought out the fact that Doc. 92, Ex. 1246, was not included in the affidavit of the witness. 10,918 1246 Excerpt from Dept.of State's publication "Foreign Doc. 92 Relations", Vol. I, (pp. 709-710), headed "From Japanese Ambassador Nomira to the Sec. of State, dated 7 Nov. 1941." This document states that the Japanese Government had offered to withdraw 90% of the troops in China, although no definite period was sec.

10,932 A. The new point there was an equitable peace in the Far East, I don't think that aids anything whatsoever to the other thing, because you couldn't have an equitable peace in the Far East without a settlement of the China Affair. Also, the term "equitable" - who is to decide which is to be equitable? It was clearly implication that that was to be unilaterally determined by Japan. The offer to withdraw, upon the conclusion of the present agreement, all troops then stationed in southern Indo-China - if you can call it a concession; it is perfectly meaningless. (10,934). . . Because there was no limit placed on the number of Japanese troops that they could bring into China. If they withdrew them from Southern Indo-China to Northern Indo-China, they could have brought a 100,000 into northern Indo-China and brought those troops back to southern Indo-China within a few days.

10,938 THE PRESIDENT: It was still possible for the Japanese to give you evidence of good faith?

possible.

THE WITNESS: I think it would have been still
THE PRESIDENT: By withdrawing troops?

THE WITNESS: By withdrawing troops or any other practical evidence of an intention to follow peaceful courses . . . . . . All of the drafts of that modus vivendi proposal were made public in the Pearl Harbor Inquiry conducted by the Joint Committee, (10,949) . . . The Department thought, as a result of the months of conversation, that it was unlikely that the Japanese Government would accept our proposal of November 26; but there was always a chance, and the proposal seemed to us of a character which any peaceloving nation would have been glad to accept. (10,952) . . . When Mr. Grew expressed to the Department enthusiasm for and hopefulness concerning a meeting between the President and Premier Konoye, he was only reporting from the viewpoint of Tokyo, as he himself stated. (10,959). . . We did not see how - what explicit commitments that would be of a satisfactory character could be given in the light of the failure to reach an agreement on so many fundamental points during all those months of conversation. (10,960). . . The negotiations

Exhibits (Nov.20,1946 cont.)

10,965

I think in the minds of many of the American authorities the note was connected with the dispatch of this large Japanese armada which had sailed a day previous and of which we had gotten word on the noon of the 6th - this huge armada which was sailing southward or westward in the general direction towards British or American or Dutch territories. I am sure that Mr. Hull has testified to that effect. . . . I don't know that any American officials expressed the opinion that it constituted a declaration of war. Things were happening so fast at that time; this armada had already sailed. (10,983)

Page of Exhib

Record Exhibits Nov. 21, 1946

10,985

1247-1248

Further cross-exemination of MR. BALLANTINE by MR. BLAKENEY, who introduced in evidence defense document No. 25. Ex.1248. (Answer handed to Mr. Snew in Tokyo an response to telegram of Pies. Roosevelt.)

Answer: "His Majesty has expressed his gratefulness and appreciation for the cordial message of the President. He has graciously let known his wishes to the Foreign Minister to convey the following to the President as a reply to the

President as a reply to the latter's message.

"Some days ago, the President made inquiries regarding the circumstances of the augmentation of Japanese forces in French Indo-China to which His Majesty has directed the Government to reply. Withdrawal of Japanese forces from French Indo-China constitutes one of the subject matters of the Japanese-American negotiations. His Majesty has commanded the Government to state its views to the American Government also on this question. It is, therefore, desired that the President will kindly refer to this reply.

"Establishment of peace in the Pacific, and consequently of the world, has been the cherished desire of His Majesty for the realization of which he has hitherto made his Government to continue its earnest endeavors. His Majesty trusts that the President is fully aware of this

fact."

10,990

It is correct that the U.S. draft modus vivendi proposal prepared prior to Nov. 26th included a small quantity of petroleum for civilian uses. . . . It is very small, indeed, compared to what the Japanese indicated in one of their intercepts they were going to ask for. . . . Well, the Japanese Government had asked in their proposal of November 20 for such petroleum as Japan might require. That presented great difficulties for us. (10,990) . . . . If Japan had reverted to peaceful courses, there would have been no difficulty about any petroleum or any other trade question. (10,991). . . . When Japan moved into southern Indo-China in a position to attack us, we couldn't see our way clear to give Japan petroleum for that purpose. . . We thought there was very little prospect of any acceptance by Japan of the modus vivendi that had been drafted, . . . Sec. Hull said, I believe, that there was "not more than one chance in three" that this would be acceptable. He proposed it to the Chinese Ambassador. (10,992) . . . He didn't think there was a reasonable prospect. He thought there might be a very slight prospect of the thing - the proposal was a worthwhile proposal, but he didn't think there was very much chance of the Japanese accepting it. The Japanese had given a very clear indication in their intercepts that that November 20 was their minimum proposal, and what we were offering was chicken feed compared with what they were asking. (10,993)

Exhibits (Nov. 21,1946 Cont.)

10,994

The <u>modus vivend1</u> was a part of the whole proposal. The communication of Nov. 26 - the whole communication was a part of that too, of which the <u>modus vivendi</u> was to be just one part. What we envisaged was that during the life of the <u>modus vivendi</u> we would carry on conversations toward the objectives of a permanent peaceful agreement.

10,995

MR. BLEWETT, cross-examination by. In southern Indo-China, Japan placed herdelf in a position where she stood over the Philippine Islands, was able to have threatened vital trade routes affecting our trade with the Far Mast, and she was also in a position to threaten the territories of our friends who were resisting aggression. . . . . Our business interests were a very small part of the consideration. The main part was, the Far Eastern region is a region of great production of strategic commodities such as tin and rubber which we used in great quantities. (10,995). . . . The U.S. continued to aid China under lend-lease or other legislationduring the pendency of the negotiations. (10,997). . . . Yes, certainly we had notice of the imminence of Germany's attack on Russia prior to June 21,1941. That is a matter of public record, that the Acting Secretary of State informed the Russian Government that we had intimation that Germany was going to attack Russia. (10,998). . . . . As to whether there was any serious consideration by the State Department relative to recognition of Manchukuo, our position right along was that that was a question between China and Japan. If China were voluntarily, through amicable negotiations, willing to agree to it, we had nothing to say. Our position is clearly stated in the June 21 draft; Anicable negotiations in regard to Manchukuo. . . . Our Department would not have objected to it as far as I know. If there had been peaceful negotiations, without duress, and China had been willing to agree to it, I don't think that anybody would have had anything to say. That is just my personal feeling. That is the clear implication of this draft. (10,999). . . We consistently took the position that the National Government of China, with remporary capital at Chungking, was the government which we recognized and that was the government of China. We never changed in that position. . . . The economic plan of Japan concerning China, Japan and Manchukuo conformed to the tendency of the Axis Powers, a tendency which we were doing our best to change, (11,000) . . . . . I suppose from time to time there were questions raised by trade groups in regard to Japanese trade, but those - if there were they went to our economic people and I don't know much about that. In any case, that had no bearing upon the conversations or our attitude toward the thing which was governed by the fixed principles of the United States which have been traditional in our Far Eastern policy and the most complete embodiment of which is found in the Nine-Power Treaty of 1922. Those principles we wanted to see

11,003

carried out in any new agreement which the Japanese government asked as for. We weren't seeking any new agreement. We were satisfied with the existing agreement. Thus, when the Japanese came to us and asked us for a new agreement, we said that we would be willing providing it conformed to our fixed principles. . . Naturally we had to consider what kind of an agreement we could carry Congress with. . . , Regarding the freezing order of July, 1941, when a desperado gets in position to shoot you. you don't want to give him ammunition for that purpose, . . . . I think the State Department recognized that economic measures of that character could only be taken in a very serious situation, but then we were concerned at that moment with a very imminent and overt act which necessitated our taking steps of self-defense. . . . . We were concerned, as I said before, then with this question of self-defense which, in view of the imminence of the peril that was created by Japan's move into southern Indo-China - that consideration overrode any other consideration in the situation. I think I explained that in my affidavit. (11,004) . . . . We got nothing in writing from an authoratative source that Japan was prepared to enter into an agreement nullifying the Tripartite Pact. (11,006). . . . I think you will find a similar statement in the summary of conversations in the record of "Foreigh Relations, U.S.-Japan, 1931 to 1941". It was our desire and our hope to neet the expectations of the American people who desired peace. We wanted to keep alive the spark of peace to the last split second. We wanted to clutch at every straw that might make possible the continuance of peace. Surely there was nothing inconsistent between that objective and giving our armed forces and those of our friends time to prepare adequately for selfdefence. . . . Under those circumstances we would all be the more anxious to take anything that was possible, but surely we weren't going to sacrifice our principles. (11010) . . . It is difficult to elaborate on what I have already said. We had to take into consideration, when we entered into the conversations, Japan's past record. At the outset of the conversations there was nothing particularly that indicated insincerity. But as conversations progressed, it was difficult to reconcile these assertions of their peaceful desires with the specific formulas that they proposed for settlement. (11,014)

11,017

MR. CUNNINGHAM: cross-examination by.

I was not present at any of the conferences between Mr.
Hull and Mr. Stipson. . . . As I said yesterday that
Mr. Hull had said: "The natter is now in the hands of
the Army and Navy." If I recall correctly, he said that
on November 27th. In any case, the facts are set forth
in the Pearl Harbor Inquiry. (11,018) . . . . As to whether
or not it was the foreign policy of the State Department
to totally divorce Japan from Germany, Japan came to us

10,019

and asked for an agreement covering peace in the Pacific area. Naturally, before concluding such an agreement, we wanted to make sure that Japan would not attack us if we got involved in Europe. . . . We made our position clear to the Japanese from the very outset of the conversations. . . . Mr. Hull repeatedly told the Japanese that he would leave it to the Japanese what they could do. (11,020) . . . I would put it this way: The failure - our failure to obtain clarification from the Japanese as to what they would do in case we became involved in the war in the Atlantic was one of the factors which made it difficult for us to reach an agreement. (11,021) . . . The Japanese had said that in the event of failure of the negotiations, that the consequences would be most unfortunate. We felt that we could go so far, and if we could not go any further, we would sust stand and take the consequences. (11,022). . . The changed circumstances were Japan's breaking away from the Nine-Power Treaty of which she was a party. Our position on that is abundantly set clear in a note which we addressed to the Japanese Government on December 30, 1938, which I believe is in evidence before this Tribunal. (11,023) . . . We were conducting informal, exploratory conversations to determine whether a basis for a negotiation existed, The stage of the negotiation was never reached. . . . . The B.C.D. Powers were informed that we were having informal, exploratory conversations. They were not given any information in regard to the contents of those things except in connection with the Japanese proposed modus vivendi and our proposed modus vivendi. I do not recall definitely whether the exact Japanese proposal of Nov. 20 - whether they were informed of the details of that, I am not sure about that. (11,025). . . . I have no knowledge that those governments (Great Britain, Netherlands and Canada) gave the U.S. a blank check to settle things for them in any way. What we had envisaged was that, after reaching what we thought was a basis of an agreement, that we would go to these other powers and discuss the matter with then then. (11,028) . . . My understanding is that we did not offer mediation; we merely offered good offices. (11,029) It was for the purpose of restoring and maintaining peace. . . . I don't know if the State Department made any official determination as to when the forward movement began, (11,030). . . In the autumn of 1940, Japan concluded an alliance with Hitler's Germany and with Italy. If you will read some of the speeches of some of the Japanese leaders following that alliance and read the speeches of the German representatives, you will see many references to the creation of a New Order throughout the world. It was a world movement of conquest. (11,031)

Exhibits (Nov.21,1946 cont.)

10,031

It was perfectly clear that the U.S. was a peace loving nation, that the U.S. was not coveting anybody else's territory or was not out on a course of aggression. It is perfectly clear that our nation did not want war even as late as the summer of 1941. The extension of the Selective Service Act was passed by only one vote in the House of Representatives. (10,031) . . . Japanese invasion of Manchuria in 1931 was in clear violation of the Nine-Power Treaty. That certainly was a forward movement. (11,032) . . . The intercepted messages were communicated to the State Department when they were translated. (11,034). . .

11,035

MR. WARREN, cross-examination by. It is a fact that it was perfectly clear that late in 1941 the U.S. did not want war. I am speaking of both Cordell Hull and Pres. Roosevelt. . . . I am sure neither Cordell Hull nor the President ever had in mind an aggressive war against Japan. . I have no knowledge of discussions of the nature you have described other than what I read in the Pearl Harbor Report. . . I was informed that it was the aim of these men in high station that they should strive for peace to the very last. (11,037). . . I was familiar with memoranda prepared by Mr. Hornbeck, which dealt with a great variety of subjects. . I would not concur in the generalization that invariably in a memoranda he refused to credit Mr. Grew and Mr. Dooman's Advice on the situation in Japan and the Far East. (11,039).

The witness was cross-examined in detail about certain memoranda prepared by Mr. Hornbeck, concerning which the witness stated he had no knowledge. As to whether or not Mr. Hull and Mr. Hornbeck opposed having Pres. Roosevelt meet with Prince Konoye, Mr. Hull was 99-9/10 per cent of the State Department. Mr. Hull's attitude on that thing is made clear in the record. That is to say that he felt, as we all felt, that some clear commitments by Japan on general principles and their application should be arrived at prior to a meeting. (11,042). . . As far as I know, the President and Mr. Hull had exactly the same attitude on that proposed meeting. . . . Mr. Hull's position from the beginning, and the President's position are crystal clear. (11,043). . . The reason the modus vivendi was not suddenly abandoned was because, as Mr. Hull explained in his Pearl Harbor testimony very clearly, the Chinese were very much opposed to it. We felt, or Mr. Hull felt that it would be a very discouraging factor on the Chinese, who were being very hard pressed, might cause their resistance to collapse. The other powers were lukewarm toward it. There was a strong body of opinion in the U.S. who we felt would be opposed to it. (11,044). . . As to the State Department not believing that the Army and Navy in Japan

According to my records, at the end of 1941 the U.S. had 11.276 under construction in major combat vessels - that includes battleships, carriers, cruisers, destroyers and submarines. a total of something over two million tons. At the same time Japan had under construction, according to the best information available to the U.S., which might not be accuracy because of the secrecy with which Japan guarded her program - had under construction less than 500,000 tons of major combat vessels. (11.277). . . . It would be a pure guess as to whether Japan's Navy was far superior to the American Navy in the Pacific, but if you want a guess, I would say somewhere between 10 and 50 per cent. . . . When I was Commander-in-Chief of the U.S. Fleet the vast

the accuracy of any statement made now. (11,278)

Colloquy between the President and Mr. Brannon in relation to evidence of the witness before the Senate and House Committee on the investigation of the Pearl Harbor attack, and the ruling by the President that there is no necessity for going over matters to which he testified before the Pearl Harbor Committee.

majority of the compatant fleet of the Navy which was ready for service was in the Pacific, but I have no idea as to

In my opinion, Order No. 1 was an order to be used, however 11,290 the war was initiated. It was a plan for the conduct of operations in a war that might be started in any way. . . . In recent times it has been an established naval procedure of the Powers to have prearranged naval war plans against potential enemies. (11,290) . . . It was normal for Japan to have the Pearl Harbor attack in its navel plans, but entirely abnormal for the U.S. because its success depended upon surprise. . . . The retention of the American force in Hawaii came from orders from higher authority to remain there. In October, 1940, President Roosevelt said that the maintenance of the fleet in Hawaiian waters was in order to provide a restraining influence on the action of Japan. (11,295)

MR. McDERMOTT: cross-examination by. It is true, except as to a degree, that these same records show that all nations, including Japan and the U.S. engaged in a policy of surveillance, reconnaissance and espionage. . . By degree I mean the U.S. has always made it possible for representatives of foreign nations to see more of what we are doing than Japan has ever permitted any representative of the U.S. to learn what they were doing. . . No records disclose that the U.S. ever carried out a welldefined policy of espionage in reference to the activities of the Japanese navy. . . The Navy records disclose that the knowledge, movements and concentration of the U.S.Fleet

11,296

Page of Record Exhibits (Nov.21,1946 cont.)

11,045 would back Konoye, all I can say is that it was the belief of the President - as far as I know, because I didn't talk to him - and of the Secretary of State that the Japanese Government, whoever happened to be the spokesman at that time, was not likely to yield on the courses which it was pursuing.

11052 - 61 MR. SMITH, cross-examination by.

## 11,062 Nov. 22, 1946.

Continuation of cross-examination by MR. SMITH with reference to the effect of the passage of alien land laws by Oregon, California and Washington and the effect on American-Japanese relations. He was also cross-examined with reference to the delay through the Panama Canal of Japanese ships attempting to pass through it.; whether there was any measure taken in order to prevent Japan from getting oil supplies from Mexico and Argentina; also asked how long the State Department estimated it would take to bring Japan to her knees by means of economic embargo. The witness answered that they were taken for self-defense. Continuing series of questions along lines to affect the economit condition of Japan by embargo, etc; also tried to show that the Nine-Power Treaty was a "dead letter" even before Pearl Harbor. Further cross-examination by Mr. Brannon (11,092-94); Mr. Brooks (11,094-115; Mr. Logan (11,115-27), and Mr. Okamoto (11,127-36).

Page of Record Exhibits Nov. 25, 1946

11,137 1249 - 1265

on matters relating to the affidavit of Mr. Ballantine, in which he attempted to ask various questions concerning the position of the U. S., the President and the State Department, most of which the Court held were outside the scope of the affidavit,

11,166

ADMIRAL JAMES O. RICHARDSON called as a witness. After stating his qualifications, Admiral Richardson read his prepared statement, Ex. 1249, and the various exhibits to which reference is made in his statement (Ex.1250-1265). This statement presents evidence of plans and preparations made by the Japanese Navy leading up to naval hostilities which Japan initiated and waged at Pearl Harbor on Dec. 7, 1941. Statement appears at pages 11,166 to 11,238. Reference made to the stand taken by Japan at the London Naval Conference on Jan. 15, 1936. Testified NAGANO had advocated "the abolition of aircraft carriers and a drastic reduction in capital ships and "A" Class cruisers. (11,181) The affidavit also charged that NAGANO and the late ADMIRAL YAMA-MOTO closely cooperated as early as 1936, and especially in 1941-43, in their capacities as Chief of the Japanese Naval General Staff and Commander-in-Chief of the Combined Fleet in working out the plans and issuing the orders for the attack on Pearl Harbor. Japan relied on three steps to execute the policy; namely, to abolish treaty limitations on construction of aircraft carriers; construction of aircraft carriers and supporting naval units until Japan had carrier supremacy over the U.S.; and the use of an aircraft carrier task force for making a secret, sudden attack to destroy before the war began the men and ships of the U.S. Pacific Fleet. Following Japan's withdrawal from the London Conference in January, 1936, Japan rejected American, British and French proposals for the reciprocal exchange of information on naval construction but continued to obtain extensive information in regard to naval construction in the U.S. by methods including consular espionage, etc. He also surveyed the secret developments of the Japanese fleet, showing that on Dec. 7,1941, Japan had ten aircraft carriers, whereas America had only six, with only three of them in the Pacific; that in what he termed the "sneak attack" on Pearl Harbor, the statement showed that Japan had sent out six carriers, naming them, which constituted 75% of the Japanese total carrier tonnage strangth, which hurled into the attack 360 airplanes. Admiral Richardson termed the Japanese force "one of the most powerful task forces ever assembled up to that time, with overwhelming carrier air force supremacy over the naval forces attacked.

Page38f Record

Exhibits (Nov. 25, 1946 cont.)

11,238

MR. BRANNON: cross-examination by. As to whether he drafted the report personally, the witness said: "The project is mine; I suppervised its preparation and its final re-write is my own work." . . . . I did not personally bring the documents with me. They were in the custody of subordinates of mine who accompanied me in the same plane in which I came to Japan. (11,241). . . I repeat that all the evidence I have used came from the official naval files. . . . I made an earnest effort to state facts only and avoid stating opinions. In so far as I know, I succeeded and the facts stated are supported by official documents, (11,242) . . . Admiral NACANO stated that they advocated the abolition of aircraft carriers and a drastic reduction in capital ships and "A" Class cruisers, and in a memorandum that was the official opinion of the Japanese Delegation they were classified in order of offensive types, one, aircraft carrier; two, capital ships; three, "A" Class cruisers. (11,247). . . As to whether NAGANO advocated abolition of capital ships other than aircraft carriers, I cannot state it in clearer terms than he stated it himself in the part I quoted. . . . As to whether he attributed any special significance to the fact that NAGANO and YAMAMOTO at two different periods represented their country as delegates to the naval conferences - none, except that they were probably considered the best able to present the views of these who selected them. (11,243). . . I attach no importance to it whatever, but no officer can rise to be a Minister of the Navy and another at the same time be Vice Minister, without them having been associated together for a long time. (11,249-50)

## 11,252 1266 - 1272 Nov. 26, 1946

11,253

Cross-examination by MR. BRANNON continued. This covered a review of the affidevit of Admiral Richardson and questions arising out of the affidavit. . . . Information secured after this statement was prepared and secured from Japanese sources shows that, with respect to destroyers, instead of being 52 in 1931 and 102 in 1941, it should have been 110 in 1931 and 112 in 1941. (11,260) Court Ex.916 shows that according to Japanese figures there were 67 in 1931 and 65 in 1941. . . The witness was questioned with reference to figures submitted pertaining to increase of Japan's naval strength between 1931 and 1941 and admitted that some were inaccurate. (11,260-64) Also, in regard to fifty destroyers handed over to Great Britain in 1940 and that this accounted for the so-called drop in U.S. destroyer power. (11,263) . . . . Witness was questioned concerning the displacement of the various Japanese aircraft carriers. . . The figure 75 per cent is warranted on the size and number of ships involved, and for some slight mistake, slight variation from 75, is taken care of by the weaselword "probably". (11,269)

Page of Record Exhibits (Nov.26,1946 cont.)

- in Hawaiian waters would be a matter of political and naval concern to the Japanese bearing on the questions of world peace and war. . . . I presume they called it a star boat because it had a star on its sail. . . . I stated I would hazard a guess that the Japanese was superior somewhere between ten and fifty per cent. I know it was superior to the fleet in the Pacific. . In so far as I know the records of the Navy do not show why this flash of naval power was made in the face of the strained relations between Japan and the U.S. (II,299)
- 11,300 CAPTAIN ROBINSON: re-direct examination as to the statement that the rate of U.S. naval construction in comparison to the rate of Japanese naval construction was approximately four to one I wouldn't characterize it as a rate of construction but as a statement as to the number of tonnages of combatant ships under construction at the end of December, 1941. . . At the end of 1939 the tonnage of combatant vessels under construction in the United States was approximately 450,000 tons, while that of Japan was something less than 200,000 tons. The amount of tonnage under construction in the U.S. increased rapidly in the year 1939. My belief is that the U.S. felt that it was confronted with a serious world situation where she must be prepared to defend herself. (11,300) Comparison of the LANGLEY and the HOSHO (11,301-2)
- BRIGADIER NOLAN: . . The general ebb and flow of Japanese conquest and the progress of the war itself have been sufficiently shown year by year on the large maps exhibited early in the case. We do not propose to gothrough the military history, but to present to the Tribunal a selection of political events throwing light on the objects of the war and the conduct of particular accused. (11,303)
- 11,334 1266 Committee meeting attended by TOJO, SHIMADA, TOGO, Doc.1021 MUTO and OKA.
  - 1267 Meeting of Privy Council Dec.10,1941, concerning treaty against a separate peace between Germany, Italy and Japan attended by TOJO, SHIMADA, TOGO, KAYA and SUZUKI,

The draft states in the preamble that the three governments, Japanese, German and Italian, would conclude the agreement with the firm resolution not to lay down arms until the common war against the U.S. and United Kingdom would have been achieved completely. . .(11,307)

11,311 1270 This is a lengthy series of essays on problems

Doc.1811 of international law related to Greater East Asia

war. MR. LOGAN objects on the ground that it was

prepared by the International Law Society which is independent of the Government of Japan. Also requests that K.HIAYAS

be produced by the prosecution for cross-examination.

- Page of Exhibits (Nov.26,1946 cont.)
  - others, alleged conspirators in the Indictment. All the alleged conspirators are not named in the Indictment. . . . . But they could get these people to write up the law in a way that suited them. I am referring to possible allegations only. We will consider the application to have these people called for cross-examination, HIAYASHI, I should say.
- 11,345 Ex.1272 MR. LFVIN objects to Doc.4076-B, 17 May 1942 on the ground that it is primarily incompetent; that same is a statement for the purpose of obtaining decorations on the part of the Germans. . . . it is indicated in the statement itself that Gen. SUZUKI's attitude toward Germany had always been moderate. (11,346) MR. CUNNINGHAM, MR. HOWARD and MR. LOGAN also object.
- 11,359 1273 These are further excerpts from Kido's diary,
  Doc.1632\*\*(114) from 1942 to August 9, 1945.
  to 1283
  Doc.1632\*\*(107)
  - 11,364 1275
    Doc.1170

    Meeting of Investigation Committee of Privy
    Council, 18 August 1943, relative to conclusion
    of Treaty between Japan and Thailand concerning
    Thai territory in Malaya and Shan areas.
  - 11,393

    1283

    Extract from Kido's diary, Aug.9,1945. Doc.1632W(107) At 1:30 pm Premier SUZUKI called at my office
    and reported that the Supreme War Guidance
    Council has decided to accept the Potsdam Declaration on the
    following conditions: (1) preservation of the Imperial Dynasty, (2) Independent evacuation of troops, (3) Handling in
    our own country of persons responsible for the war, and (4)
    No guarantee occupation.
  - JUSTICE MANSFIELD makes application to call witnesses out of turn in relation to Counts 53, 54 and 55.

    MR. LOGAN states that owing to the fact that the defense has serious objections to this phase, it might not be necessary to hear these witnesses at this time if the Court rules in favor of them. MR. CUNNINGHAM states that this not only presents evidence in another phase, but presents a phase included in the group of Counts in the Indictment, and presenting it in this manner creates a serious handicap to present general objections to the evidence of a particular phase of the case or particular group, and "I urge most seriously that the testimony of these witnesses be deferred until after the opening statement and after the defense has an opportunity to make its objections to the evidence to the general evidence to be presented in the atrocity phase of the case."
  - 11,400 THE PRESIDENT: A majority of the Court are prepared to hear him now. . . .